

Sheet 1 of 1

Substitute Form PTO 1449 (Modified) Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 11910-002001	Application No. 09/641,589
	Applicant Philip A. Cooper et al.		
	Filing Date August 18, 2000	Group Art Unit 3628	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
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	AH						
	AI						
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	AK						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL							
	AM							
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
/J.B./	AQ	Britten-Jones et al. , "Option Prices, Implied Price Processes, and Stochastic Volatility", The Journal of Finance, Vol. LV, No. 2, April, 2000, pp. 839-966.
	AR	
	AS	
	AT	

Examiner Signature /Jason Borlinghaus/	Date Considered 04/16/2007
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Substitute Disclosure Form (PTO-1449)